

04-18-05

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Application No. 10/696,659
IDS, filed 04/15/05

PATENT
Customer No. 22,852
Attorney Docket No. 07451.0001-23000
Intertrust Ref. No. IT-5.1.2.1 (US)

CERTIFICATE OF EXPRESS MAILING	
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Date: April 15, 2005	Signed: <i>Andrew B. Schwaab</i> Andrew B. Schwaab

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Confirmation No.: 8787
)
Karl L. GINTER et al.) Group Art Unit: 2132
)
Application No.: 10/696,659) Examiner: Justin T. DARROW
)
Filed: October 28, 2003)
)
For: SYSTEMS AND METHODS FOR)
SECURE TRANSACTION)
MANAGEMENT AND)
ELECTRONIC RIGHTS)
PROTECTION)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p). Please charge \$180.00 to Deposit Account No. 06-0916 for this fee.

04/19/2005 MBLAND 00000005 060916 10696659
01 FC:1806 180.00 DA

Copies of the listed foreign and non-patent literature documents are contained on the enclosed CD ROMs. Copies of the U.S. patent publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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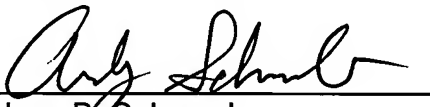
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If there is any additional fee due in connection with the filing of this Statement,
please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Date: April 15, 2005

By: 
Andrew B. Schwaab
Reg. No. 38,611

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<div style="text-align: center;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> </div>		Complete if Known	
		Application Number	10/696,659
		Filing Date	October 28, 2003
		First Named Inventor	Karl L. GINTER
		Art Unit	2132
		Examiner Name	Justin T. DARROW
Sheet 1 of 61	Attorney Docket Number	07451.0001-23000	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		3,573,747	4/6/1971	Adams et al.	
		3,609,697	9/28/1971	Blevins	
		3,790,700	2/5/1974	Callais, et al.	
		3,796,830	3/12/1974	Smith	
		3,798,359	3/19/1974	Feistel	
		3,798,360	3/19/1974	Feistel	
		3,798,605	3/19/1974	Feistel	
		3,806,874	4/21/1974	Ehrat	
		3,806,882	4/23/1974	Clarke	
		3,829,833	8/13/1974	Freeny Jr.	
		3,845,391	10/29/1974	Crosby	
		3,906,448	9/16/1975	Henriques	
		3,911,397	10/7/1975	Freeny Jr.	
		3,924,065	12/2/1975	Freeny Jr.	
		3,931,504	1/6/1976	Jacoby	
		3,946,200	3/23/1976	Juodikis	
		3,946,220	3/23/1976	Brobeck et al.	
		3,956,615	5/11/1976	Anderson et al.	
		3,958,081	5/18/1976	Ehram et al.	
		3,970,992	7/20/1976	Boothroyd et al.	
		3,996,449	12/7/1976	Attanasio et al.	
		4,020,326	4/26/1977	Coulthurst	
		4,048,619	9/13/1977	Forman, Jr. et al.	
		4,071,911	1/31/1978	Mazur	
		4,104,721	8/1/1978	Markstein et al.	
		4,112,421	9/5/1978	Freeny, Jr.	
		4,120,030	10/10/1978	Johnstone	
		4,141,005	2/20/1979	Ronner et al.	
		4,162,483	7/24/1979	Entenman	
Examiner Signature				Date Considered	

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		Number-Kind Code (if known)			
		4,163,280	7/31/1979	Mori et al.	
		4,168,396	9/1/1979	Best	
		4,183,085	1/8/1980	Roberts et al.	
		4,196,310	4/1/1980	Forman et al.	
		4,200,913	4/29/1980	Kuhar et al.	
		4,209,787	6/24/1980	Freemy Jr.	
		4,217,588	8/12/1980	Freemy Jr.	
		4,220,991	9/2/1980	Hamano et al.	
		4,232,193	11/4/1980	Gerard	
		4,232,317	11/4/1980	Freemy Jr.	
		4,236,217	11/25/1980	Kennedy	
		4,246,638	1/20/1981	Thomas	
		4,253,157	2/24/1981	Kirschner et al.	
		4,259,720	3/31/1981	Campbell	
		4,262,329	4/14/1981	Bright et al.	
		4,265,371	5/5/1981	Desai et al.	
		4,270,182	5/26/1981	Asija	
		4,278,837	7/1/1981	Best	
		4,305,131	12/8/1981	Best	
		4,306,289	12/15/1981	Lumley	
		4,309,569	1/5/1982	Merkle	
		4,319,079	3/9/1982	Best	
		4,321,672	3/23/1982	Braun et al.	
		4,323,921	4/6/1982	Guillou	
		4,328,544	5/4/1982	Baldwin et al.	
		4,337,483	6/29/1982	Guillou	
		4,361,877	11/30/1982	Dyer et al.	
		4,375,579	3/1/1983	Davida et al.	
		4,405,829	9/20/1983	Rivest et al.	
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO			Complete if Known		
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		Number-Kind Code (if known)			
		4,433,207	2/21/1984	Best	
		4,434,464	2/28/1984	Suzuki et al.	
		4,442,484	4/10/1984	Childs, Jr et al.	
		4,442,486	4/10/1984	Mayer	
		4,446,519	5/1/1984	Thomas	
		4,454,594	6/12/1984	Heffron et al.	
		4,458,315	7/3/1984	Uchenick	
		4,462,076	7/24/1984	Smith, III	
		4,462,078	7/24/1984	Ross	
		4,465,901	8/14/1984	Best	
		4,471,163	9/11/1984	Donald et al.	
		4,471,216	9/11/1984	Herve	
		4,484,217	11/20/1984	Block et al.	
		4,494,156	1/15/1985	Kadison et al.	
		4,513,174	4/23/1985	Herman	
		4,523,271	6/11/1985	Levien	
		4,525,599	6/25/1985	Curran et al.	
		4,528,588	7/9/1985	Lofberg	
		4,528,643	7/9/1985	Freeny Jr.	
		4,529,870	7/16/1985	Chaum	
		4,553,252	11/12/1985	Egendorf	
		4,558,176	12/10/1985	Arnold et al.	
		4,558,413	12/10/1985	Schmidt et al.	
		4,562,305	12/31/1985	Gaffney, Jr.	
		4,562,306	12/31/1985	Chou et al.	
		4,562,495	12/31/1985	Bond et al.	
		4,573,119	2/25/1986	Westheimer et al.	
		4,577,289	3/18/1986	Comerford et al.	
		4,578,530	3/25/1986	Zeidler	
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Sheet	4	of	61	Attorney Docket Number	07451.0001-23000

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		Number-Kind Code (if known)			
		4,584,639	4/22/1986	Hardy	
		4,584,641	4/22/1986	Guglielmino	
		4,588,991	5/13/1986	Atalla	
		4,589,064	5/13/1986	Chiba et al.	
		4,590,552	5/20/1986	Guttag et al.	
		4,593,183	6/3/1986	Fukatsu	
		4,593,353	6/3/1986	Pickholtz	
		4,593,376	6/3/1986	Volk	
		4,595,950	6/17/1986	Lofberg	
		4,597,058	6/24/1986	Izumi et al.	
		4,598,288	7/1/1986	Yarbrough et al.	
		4,599,489	7/8/1986	Cargile	
		4,609,777	9/2/1986	Cargile	
		4,609,985	9/2/1986	Dozier	
		4,621,321	11/4/1986	Boebert et al.	
		4,621,334	11/4/1986	Garcia	
		4,622,222	11/11/1986	Horváth et al.	
		4,634,807	1/6/1987	Chorley et al.	
		4,644,493	2/17/1987	Chandra et al.	
		4,646,234	2/24/1987	Tolman et al.	
		4,649,515	3/10/1987	Thompson et al.	
		4,652,990	3/24/1987	Pailen et al.	
		4,658,093	4/14/1987	Hellman	
		4,670,857	6/2/1987	Rackman	
		4,672,572	6/9/1987	Alsberg	
		4,672,605	6/9/1987	Hustig et al.	
		4,677,434	6/30/1987	Fascenda	
		4,677,552	6/30/1987	Sibley, Jr.	
		4,680,731	7/14/1987	Izumi et al.	
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Sheet	5	of	61	Attorney Docket Number		07451.0001-23000	

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		Number-Kind Code (if known)				
		4,683,553	7/28/1987	Mollier		
		4,683,968	8/4/1987	Appelbaum et al.		
		4,685,056	8/4/1987	Barnsdale, Jr. et al.		
		4,688,169	8/18/1987	Joshi		
		4,691,350	9/1/1987	Kleijne et al.		
		4,696,034	9/22/1987	Wiedemer		
		4,700,296	10/13/1987	Palmer, Jr. et al.		
		4,701,846	10/20/1987	Ikeda et al.		
		4,712,238	12/8/1987	Gilhousen et al.		
		4,713,753	12/15/1987	Boebert et al.		
		4,727,550	2/23/1988	Chang, et al.		
		4,740,890	4/26/1988	William		
		4,747,139	5/24/1988	Taaffe		
		4,748,561	5/31/1988	Brown		
		4,757,533	7/12/1988	Allen et al.		
		4,757,534	7/12/1988	Matyas et al.		
		4,757,914	7/19/1988	Roth et al.		
		4,768,087	8/30/1988	Taub et al.		
		4,780,821	10/25/1988	Crossley		
		4,791,565	12/13/1988	Dunham et al.		
		4,796,181	1/3/1989	Wiedemer		
		4,796,220	1/3/1989	Wolfe		
		4,798,209	1/27/1989	Klingenbeck, et al.		
		4,799,156	1/17/1989	Shavit et al.		
		4,807,288	2/21/1989	Ugon et al.		
		4,816,655	3/28/1989	Musyck et al.		
		4,817,140	3/1/1989	Chandra et al.		
		4,823,264	4/18/1989	Deming		
		4,827,508	5/2/1989	Shear		
Examiner Signature					Date Considered	

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Sheet	6	of	61	Attorney Docket Number	07451.0001-23000

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		Number-Kind Code (if known)			
		4,858,121	8/15/1989	Barber et al.	
		4,864,494	9/5/1989	Kobus, Jr.	
		4,864,616	9/5/1989	Pond et al.	
		4,866,769	9/12/1989	Karp	
		4,868,736	9/19/1989	Walker	
		4,868,877	9/19/1989	Fischer	
		4,881,197	11/14/1989	Fischer	
		4,888,798	12/19/1989	Earnest	
		4,893,248	1/9/1990	Pitts et al.	
		4,893,332	1/9/1990	Brown	
		4,903,296	2/20/1990	Chandra et al.	
		4,907,269	3/6/1990	Guillon et al.	
		4,919,545	4/24/1990	Yu	
		4,919,545	4/24/1990	Yu	
		4,924,378	5/8/1990	Hershey et al.	
		4,926,480	5/15/1990	Chaum	
		4,930,073	5/29/1990	Cina Jr.	
		4,937,863	6/26/1990	Robert et al.	
		4,941,175	7/10/1990	Enescu et al.	
		4,949,187	8/14/1990	Cohen	
		4,953,209	8/28/1990	Ryder, Sr. et al.	
		4,962,533	10/9/1990	Krueger et al.	
		4,967,403	10/30/1990	Ogawa	
		4,975,647	12/4/1990	Downer et al.	
		4,975,647	12/4/1990	Downer et al.	
		4,975,878	12/4/1990	Boddu et al.	
		4,977,594	12/11/1990	Shear	
		4,995,082	2/19/1991	Schnorr	
		4,999,806	3/12/1991	Chernow et al.	
Examiner Signature				Date Considered	

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		Number-Kind Code (if known)			
		5,001,752	3/19/1991	Fischer	
		5,005,122	4/2/1991	Griffin et al.	
		5,005,200	4/2/1991	Fischer	
		5,010,571	4/23/1991	Katznelson	
		5,014,234	5/7/1991	Edwards, Jr.	
		5,022,080	6/4/1991	Durst et al.	
		5,023,907	6/11/1991	Johnson et al.	
		5,027,397	6/25/1991	Double et al.	
		5,032,979	7/16/1991	Hecht et al.	
		5,047,928	9/10/1991	Wiedemer	
		5,048,085	9/10/1991	Abraham et al.	
		5,050,212	9/17/1991	Dyson	
		5,050,213	9/17/1991	Shear	
		5,051,932	9/24/1991	Inoue et al.	
		5,058,162	10/15/1991	Santon et al.	
		5,065,429	11/12/1991	Lang	
		5,079,648	1/7/1992	Maufe	
		5,091,966	2/25/1992	Bloomberg et al.	
		5,103,392	4/7/1992	Mori	
		5,103,459	4/7/1992	Gilhousen et al.	
		5,103,476	4/7/1992	Waite et al.	
		5,109,413	4/28/1992	Comerford et al.	
		5,111,390	5/5/1992	Ketcham	
		5,113,518	5/12/1992	Durst, Jr. et al.	
		5,119,493	6/2/1992	Janis et al.	
		5,126,936	6/30/1992	Champion, et al.	
		5,128,525	7/7/1992	Stearns et al.	
		5,129,084	7/7/1992	Kelly, Jr. et al.	
		5,136,643	8/4/1992	Fischer	
Examiner Signature				Date Considered	

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Sheet	8	of	61	<i>Attorney Docket Number</i>	07451.0001-23000

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		5,136,646	8/4/1992	Haber et al.	
		5,136,647	8/4/1992	Haber et al.	
		5,136,716	8/4/1992	Harvey et al.	
		5,138,712	8/11/1992	Corbin	
		5,146,575	9/8/1992	Nolan, Jr.	
		5,148,481	9/15/1992	Abraham et al.	
		5,150,407	9/22/1992	Chan	
		5,155,680	10/13/1992	Wiedemer	
		5,163,091	11/10/1992	Graziano et al.	
		5,164,988	11/17/1992	Matyas et al.	
		5,168,147	12/1/1992	Bloomberg	
		5,185,717	2/9/1993	Mori	
		5,187,787	2/16/1993	Skeen et al.	
		5,191,573	3/2/1993	Hair	
		5,199,066	3/30/1993	Logan	
		5,199,074	3/30/1993	Thor	
		5,201,046	4/6/1993	Goldberg et al.	
		5,201,047	4/6/1993	Maki et al.	
		5,204,897	4/20/1993	Wyman	
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Examiner Signature				Date Considered	

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**INFORMATION DISCLOSURE
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Sheet	9	of	61	Application Number	10/696,659
				Filing Date	October 28, 2003
				First Named Inventor	Karl L. GINTER
				Art Unit	2132
				Examiner Name	Justin T. DARROW
				Attorney Docket Number	07451.0001-23000

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Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		5,227,797	7/13/1993	Murphy	
		5,235,642	8/10/1993	Wobber et al.	
		5,237,614	8/17/1993	Weiss	
		5,241,671	8/31/1993	Reed, et al.	
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		5,247,575	9/21/1993	Sprague et al.	
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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	10	of	61	Attorney Docket Number	07451.0001-23000

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Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
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				<i>Art Unit</i>	2132
				<i>Examiner Name</i>	Justin T. DARROW
Sheet	11	of	61	<i>Attorney Docket Number</i>	07451.0001-23000

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		Number-Kind Code <i>(if known)</i>			
		5,410,598	4/25/1995	Shear	
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				<i>Art Unit</i>	2132
				<i>Examiner Name</i>	Justin T. DARROW
Sheet	12	of	61	<i>Attorney Docket Number</i>	07451.0001-23000

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		Number-Kind Code <i>(if known)</i>			
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		5,550,971	8/27/1996	Brunner et al.	
		5,553,282	9/3/1996	Parrish et al.	
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				Filing Date	October 28, 2003
				First Named Inventor	Karl L. GINTER
				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	13	of	61	Attorney Docket Number	07451.0001-23000

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		5,557,518	9/17/1996	Rosen	
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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	14	of	61	Attorney Docket Number	07451.0001-23000

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		Number-Kind Code (if known)			
		5,678,170	10/14/1997	Grube, et al.	
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				Art Unit		2132
				Examiner Name		Justin T. DARROW
Sheet	15	of	61	Attorney Docket Number		07451.0001-23000

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		Number-Kind Code (if known)			
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				<i>Art Unit</i>	2132
				<i>Examiner Name</i>	Justin T. DARROW
Sheet	16	of	61	<i>Attorney Docket Number</i>	07451.0001-23000

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		5,999,949	12/7/1999	Crandall	
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		Country Code Number Kind Code (if known)				
		01-068835	3/14/1989	Mori Ryoichi		
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				Filing Date	October 28, 2003
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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	17	of	61	Attorney Docket Number	07451.0001-23000

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		02-294855	12/5/1990	NEC Software Hokkaido		
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		05-020359A2	1/29/1993	Electronic Publishing Resource		
		05-181734	7/23/1993	Nippon Telegraph & Telephone		
		05-257783	10/8/1993	Hitachi Ltd		
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				Art Unit		2132
				Examiner Name		Justin T. DARROW
Sheet	18	of	61	Attorney Docket Number		07451.0001-23000

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
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NON PATENT LITERATURE DOCUMENTS			
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Sheet	36	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	38	of	61		

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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	43	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	44	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	45	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	46	of	61		

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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	51	of	61	Attorney Docket Number	07451.0001-23000

NON PATENT LITERATURE DOCUMENTS				
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Sheet	52	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	53	of	61	Attorney Docket Number	07451.0001-23000

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Sheet	56	of	61	Attorney Docket Number	07451.0001-23000

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				Filing Date	October 28, 2003
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				Art Unit	2132
				Examiner Name	Justin T. DARROW
Sheet	59	of	61	Attorney Docket Number	07451.0001-23000

NON PATENT LITERATURE DOCUMENTS			
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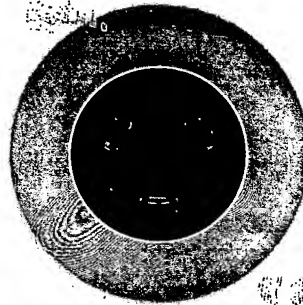
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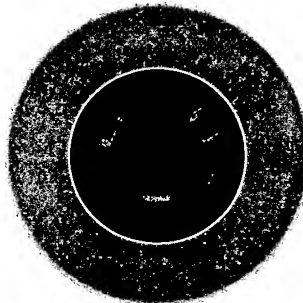
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CD 1 of 3
- Foreign
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